

01-17-01

Attorney Docket No. 2271/63926  
Total Pages 3  
Express Mail Label No. EL628788920US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D. C. 20231



Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Mika PARTAIN and Kaoru KATAYAMA  
Inventor(s)

for

INTERACTIVE PROMOTION OF PRODUCT SALES IN INTERNET SALES TRANSACTIONS

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1.  Specification (total pages 15 including:
  - a. 5 pages of claims (20 claims)
  - b. 1 page(s) Abstract
2.  7 sheets of X informal \_\_\_\_ formal drawings (Figs. 1 - 3-2)
3.  Oath or declaration of Applicant(s) ( 3 total pages)
  - a. \_\_\_\_\_ Newly executed (original)
  - b.  Unexecuted
  - c. \_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Item 14 completed)*
4. \_\_\_\_\_ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. \_\_\_\_\_ Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. \_\_\_\_\_ Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. \_\_\_\_\_ An assignment of the invention to \_\_\_\_\_ (cover sheet & document(s))
8. \_\_\_\_\_ 37 CFR 3.73(b) Statement (*when there is an assignee*)
9.  Power of Attorney
  - a. \_\_\_\_\_ Newly executed (original)
  - b.  Unexecuted
  - c. \_\_\_\_\_ Copy from a prior application

10.  Information Disclosure Statement (IDS)/PTO-1449  
 Copies of IDS Citations
11.  A Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)
13.  A small entity statement under 37 C.F.R. §1.9 and §1.27  
 Statement filed in prior application, status still proper and desired
14.  If a Continuing Application, *check the appropriate box and supply the requisite information:*  
 Continuation of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_  
 Divisional of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_  
 Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_
15.  Amend the specification by inserting before the first line of page one: --This is a  continuation  
 divisional of application Serial No. \_\_\_\_\_ filed \_\_\_\_\_--
16.  Priority of application No. \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_. Applicant hereby claims priority under 35 U.S.C. § 119.  
 A certified copy of priority application No. \_\_\_\_\_ is enclosed  
 A certified copy of priority application No. \_\_\_\_\_ has been filed in prior application S.N. \_\_\_\_\_ filed \_\_\_\_\_
17.  Other (identify) \_\_\_\_\_

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	Number Filed		Number Extra*	Rate		Fee	
				Small Entity	Other Entity	Small Entity	Other Entity
Total Claims	20 - 20	=	0	x	\$ 9	\$ 18	= \$ 0
Indep. Claims	4 - 3	=	1	x	\$ 40	\$ 80	= \$ 0
Multiple Dependent Claims Presented: Yes <u>     </u> No <u>X</u>				\$135	\$270	= \$ 0	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2				Basic Fee		\$ 355	\$ 710
				Total Fee		\$	\$ 790

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01/16/01

18.  A check in the amount of \$ 790.00 to cover the filing fee.
19.  A check in the amount of \$ \_\_\_\_\_ for recordation of the Assignment.
20.  The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- Filing fees under 37 C.F.R. § 1.16.
- Patent application processing fees under 37 C.F.R. §1.17.
- The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21.  Three copies of this sheet are enclosed.
22.  Other (identify) Request and Certification Under 35 U.C.S. 122(b)(2)(B)(i) executed by the Attorney of record.

CORRESPONDENCE ADDRESS:

Ivan S. Kavrukov  
Registration No. 25,161  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
United States of Americas  
Tel.: (212) 278-0400  
Fax: (212) 391-0525

Respectfully submitted,



Richard F. Jaworski, Reg. No. 33,515  
Attorney for Applicants  
c/o Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
Tel.: (212) 278-0400

January 16, 2001  
Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Mika PARTAIN, et al.

Serial No. : Group Art Unit:

Date Filed : Concurrently Herewith Examiner:

For : INTERACTIVE PROMOTION OF PRODUCT  
SALES IN INTERNET SALES TRANSACTIONS

jc641 U.S. PRO  
 09/760864  

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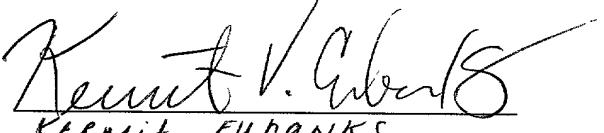
1185 Avenue of the Americas  
New York, N.Y. 10036

Assistant Commissioner for Patents  
**Box Patent Application**  
 Washington, D.C. 20231

**EXPRESS MAIL**  
**LETTER OF TRANSMITTAL**

Express Mail mailing label number: EL628788920USDate of Deposit: January 16, 2001

I hereby certify that the above-identified application (consisting of a 15-page specification (including 5 pages of claims (20 claims) and a 1 page Abstract), and 7 sheets of informal drawings (Figs. 1 - 3-2)), 3 copies of an Application Transmittal Form, unexecuted Declaration and Power of Attorney, executed Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i), and a check for the \$790 filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Kermit Eubanks  
 Kermit Eubanks  
 Mail Room Employee  
 Depositing Express Mail Material

Attorney for Applicants:  
 IVAN S. KAVRUKOV, Reg. No. 25,161  
 Cooper & Dunham LLP  
 Tel: (212) 278-0400

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor		Mika PARTAIN, et al.
Title	INTERACTIVE PROMOTION OF PRODUCTS SALES IN INTERNET SALES TRANSACTIONS	
Atty Docket Number	2271/63926	

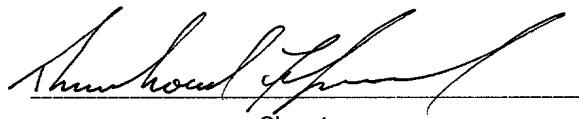
JC841 U.S.P.T.O.  
09/76086

01/16/01

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

01/16/01

Date



Signature

Richard F. Jaworski, Reg. No. 33,515  
 Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.